

0321.67638

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William S.C. Chang)
 Serial No.: 10/519,204)
 Filed: 12/17/2004)
 For: PERIPHERAL COUPLED TRAVELING)
 WAVE ELECTRO-ABSORPTION)
 MODULATOR)

I hereby certify that this paper is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

1/27/2005
 Date Express Mail Label No.: EL967134624US

Corresponding to:)
 International Appln. No. PCT/US03/20352)
 Filed: June 27, 2003)

TRANSMITTAL OF DECLARATION AND POWER OF ATTORNEY

MS PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

To date, the Notice to File Missing Parts of Application has not been received by Applicants' attorney in the above-identified application. To comply with the requirements of 35 U.S.C. 371, attached is the Declaration and Power of Attorney form duly executed by the inventor and a check in the amount of \$65.00 for furnishing the declaration later than 30 months from the priority date.


Also attached is an Assignment of the invention to The Regents of the University of California and a check in the amount of \$40.00 to cover the assignment recordation fee.

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this Assignment to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By


 Steven P. Fallon
 Registration No. 35,132

02/03/2005 GFREY1 00000046 10519204

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January 27, 2005
 300 South Wacker Drive, Suite 2500
 Chicago, Illinois 60606
 (312) 360-0080
 Customer No. 24978

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare:

That my residence, post office address and citizenship are as stated below next to my name.

That I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PERIPHERAL COUPLED TRAVELING WAVE ELECTRO-ABSORPTION MODULATOR

the specification of which (check one)

☐ is attached hereto.

☒ was filed on June 27, 2003 as
Application Serial No. PCT/US03/20352
and
was filed on December 17, 2004 as
Application Serial No. 10/519,204
and was amended on _____
(if applicable)

That I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

That I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

That I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Claimed	
			<input type="checkbox"/>	<input type="checkbox"/>
			Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No

That I hereby claim the benefit under Title 35, United States Code, §119 and/or §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

United States Application(s)

<u>60/392,073</u> (Application Serial No.)	<u>June 28, 2002</u> (Filing Date)	<u>Provisional</u> (Status)-(Patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)-(Patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)-(Patented, pending, abandoned)

That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to GREER, BURNS & CRAIN, LTD., 300 South Wacker Drive, Suite 2500, Chicago, Illinois 60606, Telephone No. (312) 360-0080:

Practitioners associated with the Customer Number: 24978

I hereby grant the above-named attorneys the right to insert the application Serial Number in the appropriate place on this document, once such number has been assigned from the United States Patent and Trademark Office.

Full name of sole or one
joint inventor:

William S.C. Chang

Inventor's signature:

William S.C. Chang

Date:

1/7/2005

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Paul K.L. Yu

Inventor's signature:

Paul K.L. Yu

Date:

1/5/2005

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